

JAN 0 9 2004 RS 10/627,589

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

KUSLICH ET AL.

Examiner:

UNKNOWN

Serial No.:

10/627,589

Group Art Unit:

3732

Filed:

JULY 25, 2003

Docket No.:

6683.13USC4

Title:

LAPAROSCOPIC SPINAL STABILIZATION SURGICAL METHOD

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 20, 2004.

By: Judith Ters

## **INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(d), a copy of each document or other information listed on the enclosed Form 1449 is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in parent application, U.S. Serial No. 09/369,614 filed on August 6, 1999.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or

otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P. O. Box 2903

Minneapolis, Minnesota 55402-0903

612.332,5300

Date | 6 04

David G. Schmaltz

Reg. No. 39,828

DGS:PSTjt

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PATENT TRADEMARK OFFICE

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Date	Mailed:	January	6	, 2004

Sheet 1 of 2

FORM 1449 C FINEORN	NATION DISCLOSURE STATEMEN
JAN 0 9 2004 15	IN AN APPLICATION (Use several sheets if necessary)
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SURE STATEMENT

Docket Number: 6683.13USC4

Application Number:

10/627,589

Applicant: Kuslich et al.

Filing Date: 07/25/2003

Group Art Unit: 3732

			U.S. PATENT DOCUMENT	ГS		_	
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS		DATE OPRIATE
	669,764	03/1901	Potter				
	3,848,601	11/1974	Ma et al.				
	3,875,595	04/1975	Froning				
·	4,349,921	09/1982	Kuntz				
	4,545,374	10/1985	Jacobson				
	4,596,243	06/1986	Bray				
	4,686,972	08/1987	Kurland				
	4,743,256	05/1988	Brantigan		-		
	4,772,287	09/1988	Ray et al.				
	4,834,757	05/1989	Brantigan		7.00		
	4,878,915	11/1989	Brantigan				
	4,904,260	02/1990	Ray et al.				*
	4,961,740	10/1990	Ray et al.			144	
	5,015,247	05/1991	Michelson				
	5,026,373	06/1991	Ray et al.				
	5,484,437	01/1996	Michelson			17.0	***
	5,489,307	02/1996	Kuslich et al.		7.1		
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	5,609,636	3/1997	Kohrs et al.			···········	
	5,776,196	07/1998	Matsuzaki et al.				
	5,797,909	08/1998	Michelson				
		FC	DREIGN PATENT DOCUMI	ENTS		· · ·	-
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						YES	NO
	3505567A1	06/1986	Germany				
	3,800,482	07/1989	Germany				
	0260044	03/1988	EP				
	0 307 241 A2	03/1989	EP				

EXA	MIN	ER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449			Docket Number: 6683.13USC4		Application Number: 10/627,589					
/	IN AN APPLICATION				Applicant: Kuslich et al.					
JAN 5 5	JAN 0 9 2004 (Use several sheets if necessary)		ry)	Filing Date: 07/25/2003			Group Art Unit: 3732			
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TRADE AR	0 077 159 A	<b>A1</b>	04/1983	EP						
	WO 91/062	61	05/1991	PCT						
	WO 94/288	24	12/1994	PCT						
		отн	ER DOCUME	NTS (Including Author, T	itle, Date	, Pertinent Pag	es, Etc.)			
		Declaration of Douglas Kohrs, filed in parent Application Serial No. 08/752,818, the original was filed in related application Ser. No. 08/015,863.								
		Declaration of George W. Bagby, M.D., 5 pages.					•			
		Posterior Lumbar Interbody Fusion by Paul Lin (©1982), pp. 14-124								
		Spine: State of the Art Review, Vol. 6, No. 1, January, 1992, pp. 175-200								

EXAMINER ,	DATE CONSIDERED
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